EXHIBIT D

UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

WAYDE HARRISON and BONNIE ERICKSON, doing business as HARRISON ERICKSON, a partnership,

Plaintiffs, 79 Civ. 2663 (HFW)

-against-

AFFIDAVIT OF PLAINTIFF ERICKSON SUBMITTED IN

THE PHILADELPHIA NATIONAL LEAGUE CLUB, INC., doing business as THE PHILLIES,

SUPPORT OF APPLICATION FOR TEMPORARY RESTRAIN-ING ORDER AND PRELIM-

INARY INJUNCTION

Defendant.

.

STATE OF NEW YORK)

:ss.:
COUNTY OF NEW YORK)

BONNIE ERICKSON, being duly sworn deposes and says:

1. I am one of the plaintiffs herein and a partner with plaintiff WAYDE HARRISON in HARRISON ERICKSON, a creative design enterprise. This affidavit is submitted in support of plaintiffs' application by order to show cause for a temporary restraining order and preliminary injunction restraining and enjoining defendant, and its respective agents, servants, employees, officers, subsidiaries and affiliates, and all persons and organizations acting in active concert or participation with defendant, from directly or indirectly (a) making,

producing, manufacturing, selling or in any way exploiting unauthorized reproductions of plaintiffs' copyrighted artistic sculpture, entitled the Phillie Phanatic (hereinafter referred to as the "Phanatic"), or authorizing or permitting any others to do such acts; (b) infringing plaintiffs' copyright in the Phanatic in any way; (c) authorizing or permitting the use of the Phanatic in association with any commercial products; and (d) exploiting any reproductions of The Phanatic unless the quality is approved by plaintiffs and plaintiffs' copyright notice is properly affixed to each copy.

The Phanatic presently is used by defendant as the mascot for the Philadelphia Phillies, its major league baseball team. Plaintiffs are the authors and copyright proprietors of the Phanatic. Annexed hereto made a part thereof and marked Exhibits "1" and "1(a)", respectively, is a copy of the certificate of copyright registration issued to plaintiffs by The United States Copyright Office and a copy of a photograph of the Phanatic deposited with the registration. This action was instituted to prevent (a) unauthorized uses of the Phanatic by defendant in various reproductions, including in assorted articles of merchandise and printed advertisements for commercial products and (b) false representations and misdescriptions in commerce in connection with defendant's uses of reproductions of the Phanatic, including by sometimes using plaintiffs' names in connection with poor quality reproductions of the Phanatic and sometimes omitting any reference to plaintiffs in connection with reproductions of the Phanatic.

Plaintiffs also plead a claim herein for recission of its merchandising agreement authorizing defendant to exploit reproductions of the Phanatic, based upon defendant's repeated, substantial breaches of the agreement which plaintiffs' claim resulted in destroying the essence of the consideration plaintiffs should receive thereunder. A copy of the merchandising agreement is annexed hereto, made a part hereof and marked Exhibit "2".

PLAINTIFFS' BACKGROUND

Plaintiffs operate a unique creative design 3. enterprise at their New York City studio. Prior to forming the partnership with plaintiff WAYDE HARRISON, I worked for six years as a designer with Henson Associates, Inc., creators and designers of the Sesame Street and Muppet Show characters. During my employment, I developed the highly popular Miss Piggy and the team of Statler and Waldorf, all soft foam puppet characters. Since the formation of Harrison Erickson, plaintiffs have created and designed numerous highly acclaimed characters and soft foam puppets, including the THREE BEARS, which were used in national television commercials for H O OATS; SIR SHAKE-A-LOT and BURGER THING, both used for a nation wide Burger King advertising campaign; SUNNY and FOSSIL, used by the National Education Association in the crusade for energy conservation; and mascot characters and costumes for defendant's Philadelphia Phillies, the Montreal Expos and the New York Yankees, all major league

baseball teams, and for the Philadelphia 76ers, a professional basketball team. Plaintiffs also created SLEEPY BEAR, a soft toy exploited nationally by Knickbocker Toy Company, together with various other toys. Annexed hereto made a part hereof and collectively marked Exhibit "3" is a reproduction of several information cards distributed by plaintiffs, each reproducing one of plaintiff's creations on the front and vital statistics on the back.

Annexed hereto, made a part hereof and collectively marked Exhibit "4" are copies of news articles which feature the details of plaintiffs' business, from such diverse publications as The New York Trib, The Philadelphia Daily News and Sports Illustrated. As a result of highly selective business practices plaintiffs have been able to achieve and maintain a uniform reputation for high quality products. One of the main reasons plaintiffs achieved their reputation is that reproductions of plaintiffs' copyrighted creations are monitored by plaintiffs under agreements with users whereby plaintiffs retain strict quality control. Plaintiffs invariably require the use of the best available materials for proposed reproductions and insist upon faithfulness of the design of the proposed reproductions to their original source. Plaintiffs also offer additional creative suggestions, which generally enhance the aesthetic appeal of the proposed reproductions. Defendant are usurping virtually all of plaintiffs' quality control in producing

reproductions of the Phanatic and, by utilizing poor quality reproductions, has created a serious threat to plaintiffs' hard earned reputation. In addition, defendant repeatedly ignores the Copyright Law requirements that all published reproductions of the Phanatic must bear proper copyright notice.

- 5. Plaintiffs first created the Phanatic in the form of a costume to be worn by a performer at the Phillies home games. The Phanatic made its first appearance in April, 1978 and became enormously popular. Sports Illustrated calls the Phanatic a "celebrity". The Phanatic is described in the 1979 Phillies Yearbook as "first in the heart of the kids," "a part of every [1978 home] baseball game," "one of us" and "the strange, new green thing...[who] has made his presence known." (p.47). Annexed hereto made a part hereof and collectively marked Exhibit "5" is a copy of newspaper photographs of the Phanatic featured this year in the Philadelphia Inquirer and nationwide by United Press International. As a result of the enormous public appeal of the Phanatic, it is a natural for merchandise tie-ins.
- 6. Consistent with our quality control policies, the agreement with defendant authorizing it to produce the Phanatic in various articles of merchandise (Exhibit 2) contains the following express limitations:
 - (a) 2. As an express condition precedent to your right to make reproductions, each proposed Licensed Article shall be submitted

to us in the form in which you intend to manufacture it. For a period of ten working days following receipt by us of each proprosed Licensed Article, we shall have the right in our sole discretion to determine whether the proposed reproduction satisfies our personal quality standards. You shall not manufacture any Licensed Article of any kind unless (a) you have first submitted to us the proposed Licensed Article and (b) we do not object to the quality within the ten working day period. Any subsequent modifications must first be approved by us in the same manner, before you incorporate the changes in the Licensed Article. Our approval of any proposed reproduction or modification shall not be unreasonably withheld.

* * * *

(b) 3. Copies of all Licensed Articles made by you, or presently in your possession or control, shall bear copyright notice in our name in the proper location as follows:

"@1978 Harrison Erickson."

* * * *

(c) 4.... Promptly after the commencement of manufacture, you shall supply us with 5 complete copies of each Licensed Article from the first quantity manufactured by or for you.

* * * *

(d) 6. Except as expressly authorized herein, and in our agreement dated March 17, 1978, you shall have no right to make any use of the Phillie Phanatic character.

The uses authorized in the March agreement are uses of the costume and do not bear upon the merchandise rights.

7. From virtually the inception of the merchandise agreement, defendant repeatedly has ignored the express conditions to its right to exploit reproductions of the Phanatic.

As a result plaintiffs have became aware of the following reproductions of the Phanatic exploited by defendant and not authorized by plaintiffs because of defendant's failure to meet the express conditions of the contract.

1978

- (a) Keychains with an unapproved reproduction and no copyright notice. In response to plaintiffs'objections, defendant's attorney represented it was physically impossible to change the configuration or imprint the notice in time for a Keychain Day which defendant would not postpone. Defendant's attorney also then represented by letter that "there are no outstanding orders for merchandise which do (sic) not contain the copyrighted (sic) notice."
- (b) <u>Pennants</u> Poor quality reproduction not submitted for approval and copyright notice omitted. A copy of the reproduction is annexed hereto made a part hereof and marked Exhibit "6".
- (c) <u>Tee shirts</u> same as pennants. A copy of the reproduction is annexed hereto made a part hereof and marked Exhibit "7".
- (d) <u>Dolls</u> not submitted for approval. 15,000 sold by defendant without copyright notice properly affixed. Defendant notified plaintiffs of the sales after the sales were made. The design quality is not acceptable to plaintiffs. A copy of one of the dolls purchased by my partner in Philadelphia this month, and the accompanying purchase receipt is annexed hereto made a part hereof and marked Exhibit "8".
- (e) <u>Miscellaneous</u> team promotional literature such as advertising and promotional pieces using reproductions of

the Phanatic in presently unknown quantities and not submitted for approval of the reproductions.

1979

(f) Comic strip - Plaintiffs approved the use of the Phanatic for a daily newspaper comic strip. None of the required samples were supplied by defendant. All copies seen by me contain an illegible acknowledgment of plaintiffs copyright, contrary to an express condition to the use of the Phanatic for that purpose. A copy of one of the strips is annexed hereto made a part hereof and marked Exhibit "9".

Schedule - Low grade reproduction used in connection with promotion of Girard Bank. Use not even disclosed by defendant until late April. No copyright notice. A copy of the reproduction is annexed hereto made a part hereof and marked Exhibit "10".

Program/Scorecard - Low grade reproduction used to plug MAB Paints. Never submitted for approval, recently discovered. Photograph also used without approval to plug Medford's hot dogs. A copy of the reproductions are annexed hereto made a part hereof and respectively marked Exhibits "11" and "12".

Dolls - See details below.

Miscellaneous - Tie tack, glass mugs, tote bag, advertisements and promotional literature all use reproductions of the Phanatic. Not submitted for approval and samples not supplied, except three glass mugs received this month.

- 8. During the past several months, plaintiffs have tried to avoid litigation with defendant by negotiation of plaintiffs' claims. Although defendant repeatedly represented it was prepared to meet its obligations to use plaintiffs' copyright notice on all reproductions and to follow the approval procedures, plaintiffs finally were forced to conclude that defendant was not serious. Each settlement discussion revealed further unauthorized uses and a continuation of defendant's flagrant refusal to meet the express conditions of plaintiffs' authorization for reproductions. A brief summary of the exploitation of dolls and the use of the Phanatic as a product salesman well illustrate the point.
- 9. Defendant's conduct with respect to the manufacture and sale of dolls reveals a thoroughly reprehensible scheme to circumvent plaintiffs right of quality control. As aforesaid, when a sample was first revealed to plaintiffs in late 1978, plaintiffs were told by defendant that defendant sold 15,000 dolls Defendant also then advised plaintiffsother dolls were ordered. In an attempt to exercise our quality control, I visited the doll manufacturer's plant in Korea in January, 1979. I made various corrections to a prototype, including in its proportions, eye shape, eyebrows, shoe laces, tongue and copyright notice. A copy of my letter to one of defendant's vice presidents confirming the foregoing is annexed hereto made, a part hereof and marked Exhibit "13". On March 19, 1979, I received so-called "production samples" in New York City although I recently discovered that the dolls had been manufactured and shipped by that time. I

notified both the manufacturer and defendant's vice president by letter dated March 20, 1979, that changes I made in Korea were not incorporated and other changes were unsuccessful. I requested "corrected production samples," because defendant withheld disclosure of the fact that the dolls were already manufactured and shipped. Defendant clearly was making a mockery of my examination and proposed corrections. A copy of my letter is annexed hereto made a part hereof and marked Exhibit "14". By telephone conversation with our attorney in late March, 1979, defendant's vice president revealed that in November, 1978 defendant actually acquired 30,000 dolls, sold 10,000 and recently sold the remaining 20,000% that another 25,000 were then due to be received by defendant from the manufacturer (approximately a 30 day trip at sea, confirming that the submission of "production samples" was pure deceit); that another 25,000 were due to be received by defendant from the manufacturer in May; and that only the latter 25,000 possibly could be corrected. A copy of the letter from plaintiffs' attorney to defendant's attorney confirming the deceit is annexed hereto, made a part hereof and marked Exhibit "15". Upon information and belief, defendant continues to sell all dolls in unapproved form, without copyright notice and without any satisfactory quality control. Defendant's dolls are an embarrassment. Annexed hereto made a part hereof and marked Exhibit "16" is a composite, consisting of a side-by-side comparison of defendant's doll and the Phanatic. Defendant's doll is a gross misrepresentation of our artistic product. It looks more like a plush poodle sold in Woolworth's

than the Phanatic.

- uses of the Phanatic as huckster for paints and hot dogs, plaintiffs recently discovered that in February, 1979 defendant authorized Mt. Ephraim Dodge to make a reproduction of the Phanatic on a Dodge van and granted it advertising concessions. A written authorization was issued by defendant to Mt. Ephraim Dodge in February, 1979, but defendant made no disclosure to plaintiffs until after plaintiffs discovered the use and plaintiffs' attorney wrote to defendant's attorney on May 3, 1979. A copy of defendant's February authorization is annexed hereto, made a part hereof and marked Exhibit "17". The merchandise agreement does not authorize use of the Phanatic for product promotions.
- 11. In certain instances, defendant has referred to plaintiffs as the source of unauthorized reproductions of poor quality by using their name on the reproductions. That poses a real threat to our reputation for high quality, and our entire future. We are just beginning to enjoy substantial business growth. A set back now, based upon false attribution of poor quality products, could forever destroy our business potential. Similarly, whenever we are not referred to as the copyright proprietor of approved reproductions, we lose the opportunity to enhance our reputation because the purchasing public is not told we are the creators. In either event, we necessarily will suffer

incalculable harm. We already have been told by one of our customers that the Phanatic dolls he saw are of very poor quality not up to our usual standards.

- 12. The reason this application is being brought on by order to show cause is that late last week I discovered that defendant has been dealing with another pennant designer who stated he is prepared to manufacture a pennant with defendant's authorization, which includes an unapproved reproduction of the Phanatic. In addition, the unapproved dolls are widely offered for sale and defendant appears to be taking no steps to correct the defects. Accordingly, I believe immediate action by this court is necessary to protect our commercial integrity and preserve our reputation for high quality.
- 13. No prior application has been made for the relief sought herein.

WHEREFORE, it is respectfully submitted that plaintiffs application should be granted in all respects.

Sworn to before me this day of May, 1979

Notary Public

SANIERA AUTERI Netary Public, State of New York No. 41-5129500 Qualified in Queens County Commission Expires March 30, 19 Formie Pricleson

TIFICATE OF A STOP PARISHED IS THE CONSTRUCTION OF THE PROPERTY OF THE PROPERT

UNITED STATES COPYRIGHT OFFICE

This certificate, issued under the seal of the Copyright Office in accordance with the provisions of section 410(a) of title 17, United States Code, attests that copyright registration has been made for the work identified below. The information in this certificate has been made a part of the Copyright Office records.

Register of Copyrights

REGISTRATION NUM	23-74
(VA)	VAU
EFFECTIVE DATE OF RE	POTARTED ICITA
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DO NOT WRITE ABOVE THIS LINE. IF YOU NEED ADDITIONAL SPACE, USE CONTINUATION SHEET (FORM VA/CON) NATURE OF THIS WORK: (See instructions) TITLE OF THIS WORL Artistic Sculpture PHILLIE PHANATIC PUBLICATION AS A CONTRIBUTION: (If this work was published as a contribution to a periodical, serial, or collection, give information about the collective work in which the contribution appeared.) Tgle of Collective Work: Under the law, the "author" of a "work made for hire" is generally the employer, not the employee (see instructions). If any part of this work was "made for hire" check "Yes" in the space provided, give the employer (or other person for whom the work was prepared) as "Author" of that part, and leave the space for dates blank. DATES OF BIRTH AND DEATH: NAME OF AUTHOR: Was this author's commount of the work a work made for hire? **AUTHOR'S NATIONALITY OR DOMICILE:** WAS THIS AUTHOR'S CONTRIBUTION TO THE WORK: Citizen of ... United .States or | Domiciled in 1 Anonymous? Yes.... No. X...
Pseudonymous? Yes.... No. X... If the answer to either of these questions is "Yes," see detailed instructions attached. DATES OF BIRTH AND DEATH: NAME OF AUTHOR: BONNIE ERICKSON Was this author's contribution to the work a "work made for hire"? WAS THIS AUTHOR'S CONTRIBUTION TO AUTHOR'S NATIONALITY OR DOMICILE: 2 Anonymous? Yes.... No..X.. Pseudonymous? Yes.... No..... AUTHOR OF: (Briefly describe nature of this author's contribution) If the answer to either of these questions is "Yes," see detailed instructions attached. CO-AUTHOR ARTISTIC SCULPTURE DATES OF BIRTH AND DEATH NAME OF AUTHOR: Was this author's contribution to the work a "work made for hire"? Yes..... No..... WAS THIS AUTHOR'S CONTRIBUTION TO AUTHOR'S NATIONALITY OR DOMICILE: 3 THE WORK: or Domiciled in ... Anonymous? Yes..... No..... Pseudonymous? Yes..... No..... AUTHOR OF: (Briefly describe nature of this author's contribution) If the answer to either of these questions is "Yes," see detailed instructions attached. YEAR IN WHICH CREATION OF THIS WORK WAS COMPLETED: DATE AND NATION OF FIRST PUBLICATION: Date April ... Nation United States Year ... 1978. (Name of Country) and (Complete this block ONLY if this work has been published.) Publication (This information must be given in all cases.)

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NAME(S) AND ADDRESS(ES) OF COPYRIGHT CLAIMANT(S):

WAYDE HARRISON and BONNIE ERICKSON, doing business as HARRISON ERICKSON, 95 Fifth Avenue, New York, New York 10003

TRANSFER: (If the copyright claimant(s) named here in space 4 are different from the author(s) named in space 2, give a brief statement of how the claimant(s) obtained ownership of the copyright.)

Complete all applicable spaces (numbers 5-9) on the reverse side of this page

Follow detailed instructions attached

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Page 1 ol .. 2 .. pages

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(Name of author or other copyright claimant, or owner of exclusive right(s)) of the work identified in this application and that the statements made by me in this application are correct to the best of my knowledge.						
Handwritten signature: (X)	01/0			(Application must be		
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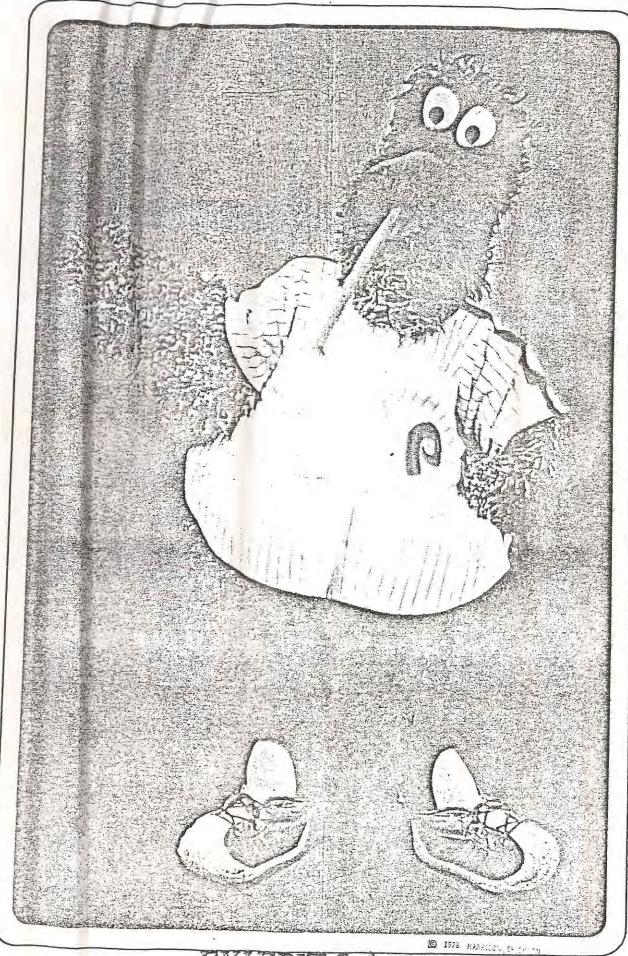
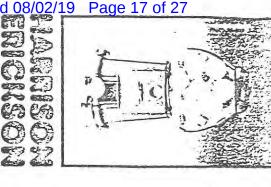


EXHIBIT 1 A



Veterans Stadium Broad Street and Pattison Avenue Philadelphia, Pennsylvania 19143

Gentlemen:

AND AGREED follows: Upon your signing below under the wording "CONSENTED REED TO", this will constitute our agreement as

- for collectively referred to as the "Licensed Articles") decals, tee-shirts and dolls. in and as part of various souvenir items such as keychains, the subject of the agreement between us dated March 17, We hereby grant to you the exclusive rights throughout all of the territories of the world the term of this agreement only, to make reproductions of copyrighted character presently known as "Phillie Phanatic" (All such items are hereinafter
- be unreasonably withheld. modifications must first be approved by us in the same manner, Licensed Article of any kind unless (a) you have first submitted to us the proposed Licensed Article and (b) we do not object to our personal quality standards. You shall not manufacture any discretion to determine whether the proposed reproduction satisfies For a period of ten working days following receipt by us of each submitted to us in the form in which you intend to manufacture it. to make reproductions, each proposed Licensed Article shall be before you incorporate the changes in the Licensed Article. the quality within the ten working day period. proposed Licensed Article, we shall have the right in our sole of any proposed reproduction or modification shall As an express condition precedent to your right Any subsequent

your possession or control, shall bear copyright notice in our name in the proper location as follows: Copies of all Licensed Articles made by you, or presently

"@1978 Harrison Erickson."

- of all Licensed Articles.. you shall supply us with 5 complete copies of each Licensed Article from all of your transactions in the manufacture and sale or other distribution first quantity manufactured by or for you. You shall maintain accurate books and records evidencing Promptly after the commencement of manufacture
- to make reproductions granted hereunder: You shall make the following payments to sn for the right
- and is not returnable in any event. constitute annual minimum guaranteed compensation hereunder to us pursuant to subdivision (b), infra, but which shall an advance against all royalties otherwise payable calendar year during the term of this agreement, as this agreement, and on or before July 15 of each The sum of \$5,000.00 upon execution of
- distributed by you free of charge. referred to in this subdivision (b) quantity sold, the selling price and the manufacturing cost Licensed Article, that no royalties shall be payable for decals and brochures each year of the term of this agreement; provided, on or before August 1 for the period ended July 15 total cost of manufacture of each Licensed Article, supra, royalties in a sum equal to 7% of either (i) statement under oath identifying for the annual period, the the retail selling price thereof, whichever is greater, Subject to the provisions of subdivision the total quantity manufactured, shall be accompanied Each of the payments the total however, 04

The Phillies

the Phillie Phanatic character. dated March 17, 1978, you shall have no right to make any use of Except as expressly authorized herein, and in our agreement

of any one-year period, terminates the same by mailing written either party, by written notice thirty days prior to the expiration notice to such effect to the other party. shall be automatically renowed from year to year thereafter unless 16; 1978, and shall continue through July 15, 1981. This agreement The term of this agreement shall commence as of July

accordance with the laws of the State of New York. This agreement shall be construed and interpreted in

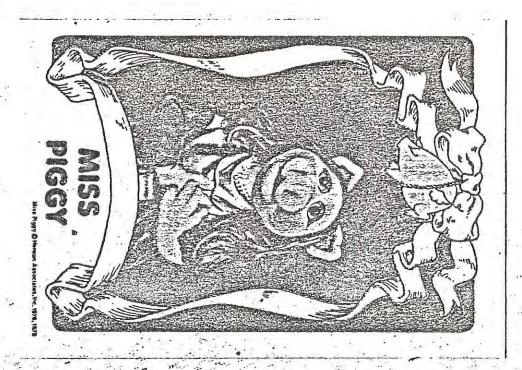
writing signed by both of us. modified or otherwise altered or discharged except by an instrument in us, and none of the provisions herein contained shall be waived, This writing constitutes the entire understanding between

10. Your signature, where indicated below, together with ours, shall constitute this a valid and binding agreement between us.

Dated: As of July 15, 1978 New York, New York

THE PHILLIES CONSENTED AND AGREED TO: ..

HARRISON ERICKSON



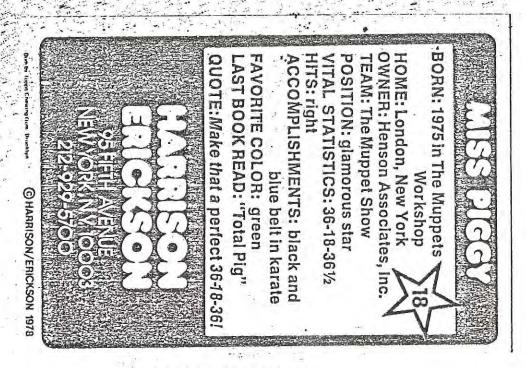
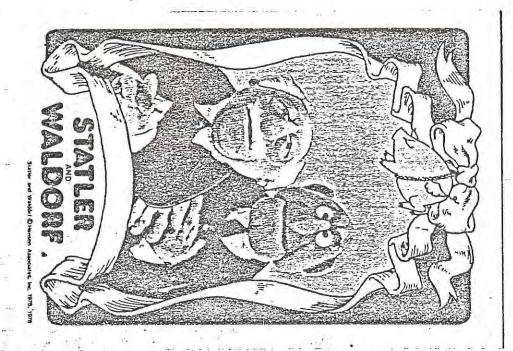
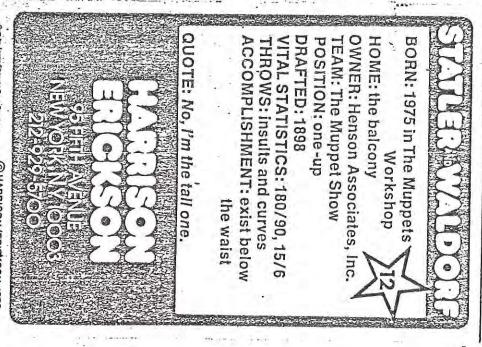
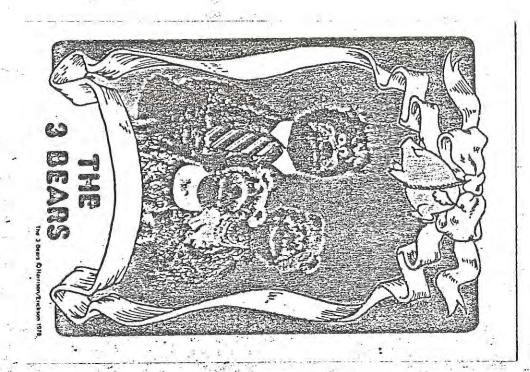


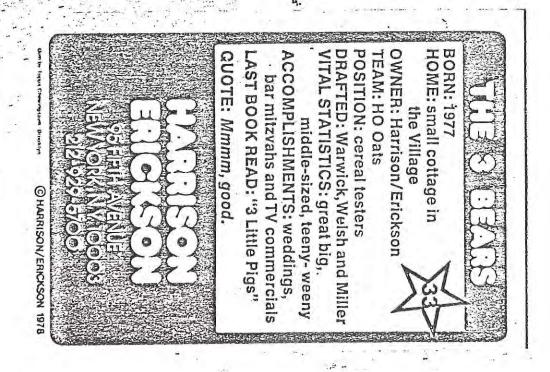
EXHIBIT 3

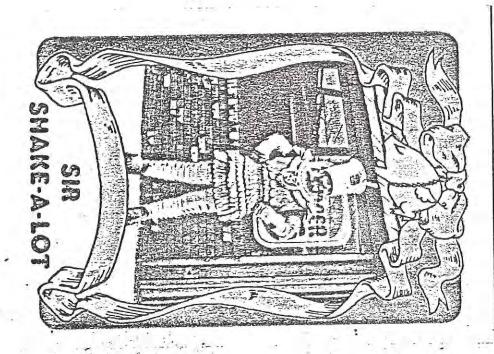


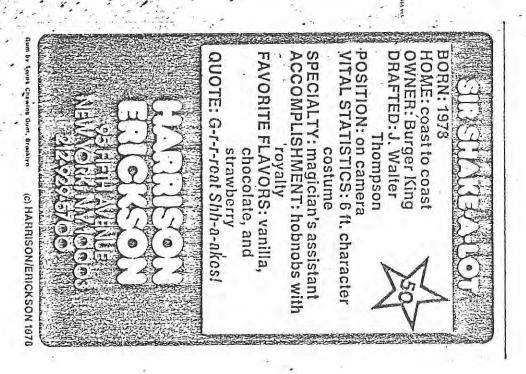


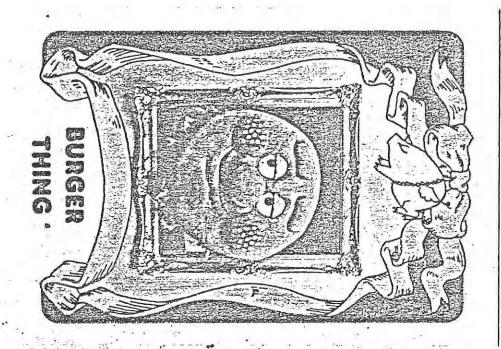
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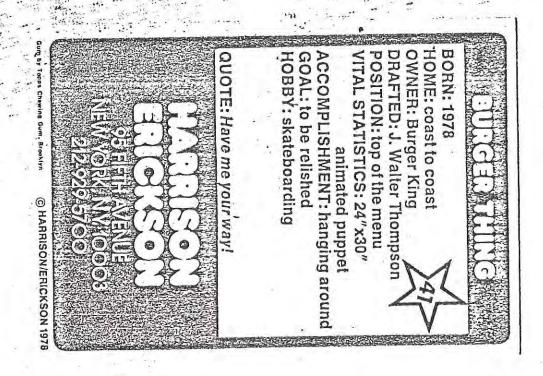


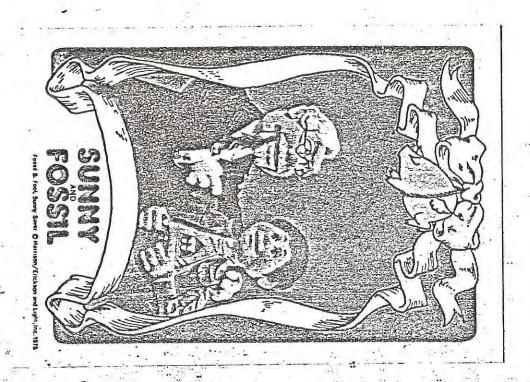


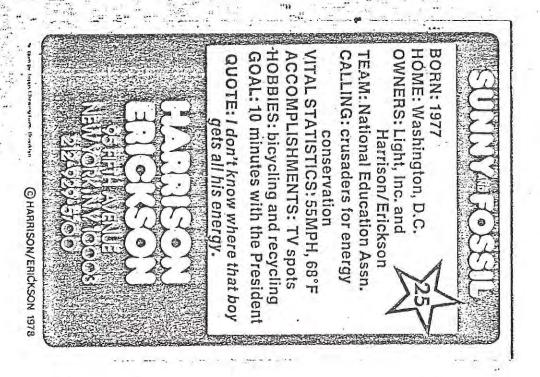


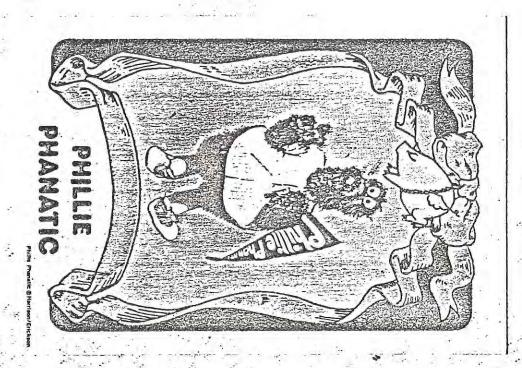


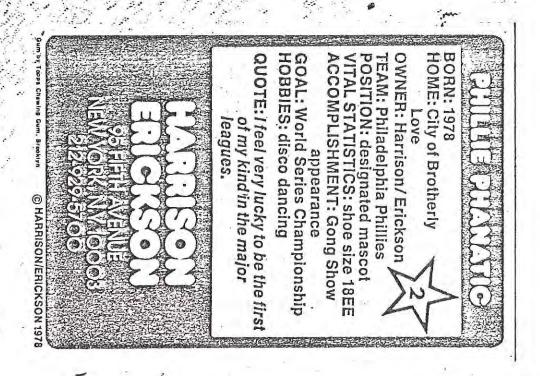


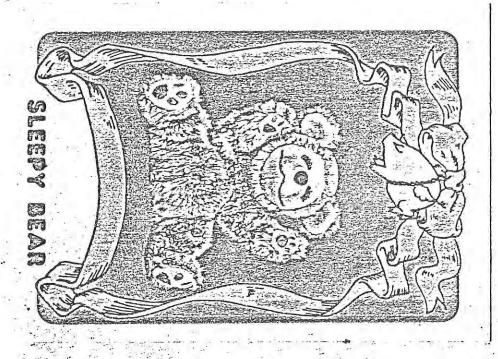


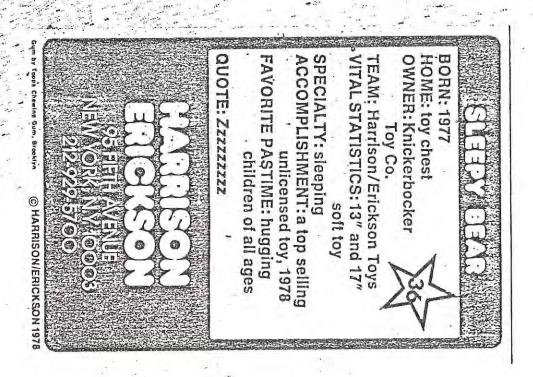












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